

07/18/03

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket No.	HAU235 CIP3-CON
First Inventor	William R. Gamble
Title	High Molecular Weight Primary Aliphatic Alcohols Obtained From Natural Products and Uses Thereof
Express Mail Label No.	EV322525992US

<b>APPLICATION ELEMENTS</b>	Commissioner for Patents Mail Stop Patent Application P. O. Box 1450 Alexandria, VA 22313-1450
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1.  Fee Transmittal Form  
(submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27
3.  Specification [36 total pages and title page]  
(preferred Arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) [6 total sheets Figures 1-6]
5.  Oath or Declaration [8 total pages]
  - a.  Newly executed (original or copy)
  - b.  Copy from prior appl. (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. (See 37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (coversheet/document(s))
10.  37 CFR. 3.73(b) Statement  Power of Attorney
11.  English Translation Document
12.  IDS & Form PTO/SB/08A  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)
15.  Certified Copy of Priority Document(s)
16.  Nonpublication Request Under 35 USC 122(b)(2)(B)(i).Applicant must attach form PTO/SB/35
17.  Other: Certificate of Mailing

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 10/133,986

Prior application information: Examiner: Peter G. O'Sullivan Group/Art Unit: 1621

**FOR CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 25235 or  Correspondence address below



Name				
Address				
City		State		ZIP
Country		Telephone		Fax

Name (Print/Type)	Sarah O'Rourke	Registration No.	41,226
(Signature)		Date	July 18, 2003

EXPRESS MAIL NO. EV322525992US  
Attorney Docket No. HAU235 CIP3 CON  
Client/Matter No. 81250.0105.005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William R. Gamble, et al.

Serial No. NEW

Filed: Herewith

For: HIGH MOLECULAR WEIGHT  
PRIMARY ALIPHATIC ALCOHOLS  
OBTAINED FROM NATURAL  
PRODUCTS AND USES THEREOF

Group Art No.: Not yet accorded

Examiner: Not yet accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal;
3. Check in the amount of \$457;
4. Utility Patent Application (36 pages plus cover sheet)
5. Abstract (1 page);
6. 3 pages of Claims;
7. Copy of Executed Declaration from prior application;
8. 6 sheets of drawings (Figures 1-6);
9. Recordation Form Cover Sheet and copy of Assignment from prior application;
10. Return postcard; and
11. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV322525992US, with the U.S. Postal Service, addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313.

July 18, 2003  
Date

Sarah S. O'Rourke  
Mailer

July 18, 2003  
Date

Sarah S. O'Rourke  
Sarah O'Rourke, Reg. No. 41,226  
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# FEE TRANSMITTAL for FY 2003

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**

**(\$457)**

## Completeness if Known

Application Number	Not yet accorded
Filing Date	Herewith
First Named Inventor	William R. Gamble
Examiner Name	Not yet accorded
Group / Art Unit	Not yet accorded
Attorney Docket No.	HAU235 CIP3 CON

## METHOD OF PAYMENT (check all that apply)

check  credit card  money order  other  none  
 Deposit Account

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

The Commissioner is hereby authorized to: (check all that apply)  
 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) for this filing  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	375	Utility Filing Fee	<b>375</b>
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1) (\$375)**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20**= 0	X 0	= 0
4	-3**= 1	X 42	= 42

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$42)**

## 3. ADDITIONAL FEES

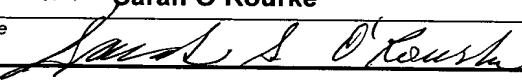
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge – late filing fee or oath	
50	25	Surcharge – late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive – unavoidable	
1,280	640	Petition to revive – unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination	
900	900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$40)**

## SUBMITTED BY Complete (if applicable)

Name (Print/Type) **Sarah O'Rourke**

Signature 

Registration No. (Attorney/Agent)

**41.226**

Telephone **(720) 406-5385**

Date

